

CITY OF HOUSTON Houston Airport System(HAS) Security Clearance Request and ID Badge Application



Please PRINT: form must be completed in black or blue ink only, no correction fluid; mark one line through the error and make correction.

		IRPORT:	IAH _	HOU		FD 🗌	
						RT BADGING O	
				•	_	Finger Prir	nt Only
Escort Privileges:	Yes No) Re	equested Bac	-			1
ID Confirmation: Badge Color:	1. New Card No			Seal: Colle Billed	cted 🔲	(Select additional Airport) AH □ HOU □ EFD □ Fee: \$	Project No. (PDC):
Issue Date:	1 1	Expiration	Date:	1 1		*Issued By:	
*Initial Review:		*Fingerprin	ited by:		*Da	ta input:	
NOTES:						ess:	
THIS DOCUMENT IS A	GOVERNMENT R		OU NEED ASSI			THIS FORM CONTACT	YOUR SIGNATURE
		AUI	HORITI, PERM	II HOLDER O	K EWIFLO	IEK.	
Part 1		TO BE FIL	LED OUT	BY APPL	ICANT		
1. HAVE YOU EVE	R HAD A BADGE	E AT ANY HAS A	AIRPORT?	No	Y	es [date and which airport)
2. LEGAL			FIDST				_E
NAIVIE. LAST			FINOT			WIDDI	_E
3. ALIAS AND/OR	MAIDEN NAME:						
4. HOME ADDRES	S:			APT.	5.	HOME PHONE:	
6. CITY:			7	. STATE:		8. ZIP COD	E:
9. SSN:		10. DL N	IO. & STATE:			11. BIRTHDA ⁻	ΓΕ:(MM/DD/YYYY)
12. PLACE OF BIR	TH:			13	s. CITIZEN	NSHIP COUNTRY:	(IMIM/DD/TTTT)
		(City / State	/ Country)				(Country ID Code)
14. PASSPORT #:		PASS 15 . COUN	PORT NTRY:	ALIE 16. REG	N #:	IMMIC	GRANT VISA#:
18. JOB TITLE:				19.	HEIGHT:	20. W	EIGHT:
21. GENDER:	☐ MALE		☐ FEMALE				
22. HAIR:	☐ BLACK	☐ BLONDE	☐ BROWN	☐ GRAY	□ВА	ALD OTHER _	
23. EYES:	BLUE	BROWN	GREEN	□ HAZEL		□OTHER _	
24. ETHNICITY:	☐ CAUCASI	AN	BLACK	☐ HISPAN	IC 🗌 AS	IAN OTHER _	
25. EMPLOYER:					26 . W0	ORK PHONE:	

Part 2

AGREEMENTS. AUTHORIZATIONS AND REPRESENTATIONS

27. IMPORTANT – APPLICANT MUST READ THIS SECTION CAREFULLY BEFORE SIGNING AS YOU IRREVOCABLY REPRESENT AND AGREE TO THE FOLLOWING:

The information I have provided on this application is true, complete, and correct and to the best of my knowledge and belief and is provided in good faith. I understand that making a knowing and willful false statement anywhere on this application and/or its attachments can be punished by fine, imprisonment or both in accordance with federal law (18 USC 1001) and various Texas statutes. Various Federal laws, rules and regulations and/or Houston Airport System "HAS" rules and regulations, provide that falsification of, or providing incomplete, misleading or inaccurate information on this document, the attachments, or otherwise in connection with this application, may cause this application to be rejected and/or my badge revoked and may be grounds for future revocation and permanent denial of any access and/or badging privileges.

I understand under 49 CFR 1542.209 and HAS rules and regulations, I have a continuing obligation to disclose and advise, without need for a demand or request from HAS, to the Airport Security Coordinator within 24 hours of my arrest and/or conviction for any disqualifying criminal offense, that occurs while I have a HAS badge. I further understand that pursuant to HAS rules and regulations that I have a continuing obligation to make the foregoing notification if I am arrested for a DWI and/or DUI, although they are not disqualifying criminal offenses, but may result in the restricting of my privilege of driving on the AOA and other places on HAS property.

I understand that I must notify the Houston Airport System of any changes to the information provided in Part 1 of this document not later than thirty (30) days from the date of said change.

I understand that it is an offense subject to administrative and/or criminal sanctions, to lend or borrow any airport badge. I will advise the appropriate Houston Airport System Badging Office (EFD, HOU, or IAH) immediately if the badge is lost or stolen.

I understand that it is my obligation, and no one else's, to obtain copies of and know and strictly obey the rules and regulations of HAS prior to starting to work at an HAS airport.

I understand that the badge is, and always remains, the property of the HAS and I will surrender it to the Houston Airport System immediately upon demand, or its designated representatives.

I specifically authorize the Houston Airport System, or its designated representatives, to investigate me and my background and my activities in any lawful manner and to any extent, that HAS, in its sole discretion, deems from time to time advisable. This may include, but is not limited to, contact with former employers, contact with my present employer, my co-workers, surveillance, including technical surveillance, additional criminal history checks, including, but not limited to non-fingerprint based state and local records and litigation checks. I understand that the reason for these investigations is for security purposes and that had I not consented to and authorized the same, I would not be granted an HAS I.D. Badge and the privileges associated therewith nor would my application for the same be processed and/or considered.

HAS, or its designated representatives may release any or all of the above information and/or records, or any other records or information it may have about me, to any law enforcement or other governmental agency which the Houston Airport System, in its sole discretion, believes has a need to know. I hereby release and discharge the Houston Airport System, its employees, agents and contractors from any and all liability, claim, damage or cause of action which may arise indirectly or directly from or out of release of the information contained herein.

All sanctions, including replacement cost sanctions, are the sole responsibility of the badge holder, not the sponsor, permit holder or employer.

28.			1 1
_	Legibly Printed Name of Applicant	Signature of Applicant	Date

Part 3 ADDITIONAL AGREEMENTS, AUTHORIZATIONS AND NOTICES

29. As an applicant requesting a security clearance for an HAS I.D. Badge, I do hereby warrant, represent and affirm, under penalty of perjury, that all statements made by me on this application and any attachments hereto are true, correct and complete to the best of my knowledge and belief and are provided in good faith. I understand that making a knowing and willful false statement on this application can be punished by fine, imprisonment or both (18 USC sec 1001).

I hereby authorize the Houston Airport System or its designated representatives to investigate all matters contained in the records of any past employers of the undersigned. I hereby release the Houston Airport System, its employees, agents and contractors from any and all liability or claim based upon such request for information.

I have been informed that under Transportation Security Regulation (49 CFR) Part 1542.209 and/or 1544.229, that a fingerprint based criminal history record check may be conducted through appropriate local, state, or federal law enforcement agencies and I authorize the same.

That said fingerprints may be obtained and processed electronically, or recorded on fingerprint cards approved by the FBI and distributed for that purpose. That HAS is required to collect, control and process one set of legible and classifiable fingerprints under the direct observation of the airport operator or a law enforcement officer. The fingerprint submission must be forwarded to the appropriate federal authority in the manner specified by the federal agency designated to carry out the provisions of 49 USC 1500, et seq.

A copy of your criminal record, if any, received from the FBI will be provided to you, if you request the same in writing. The Airport Security Coordinator ("ASC") for the airport at which you had your fingerprints taken, as is from time to time designated as the ASC for that airport to the federal agency designated to carry out the provisions of 49 USC 1500, et seq., is your point of contact if you have any questions about the result of your fingerprint based Criminal History Records Check.

I do not have a disqualifying criminal offense listed on Attachment 1 hereto, which is incorporated herein by reference for all purposes the same as if recited verbatim herein.

I have been informed that the provisions of 49 CFR 1542.209(I) and HAS rules and regulations impose a continuing obligation upon me to disclose to HAS within 24 hours of my conviction of any disqualifying criminal offense that occurs while I have unescorted access authority. I also acknowledge that I have received SIDA training and I am aware of my security responsibilities.

SCREENING NOTICE: Any employee holding a credential granting access to a Security Identification Display Area may be screened at any time while gaining access to, working in, or leaving a Security Identification Display Area.

30.			/ /
	Legibly Print Name of Applicant	Signature of Applicant	Date

Privacy Act Notice

Authority: 6 U.S.C. § 1140, 46 U.S.C. § 70105; 49 U.S.C. §§ 106, 114, 5103a, 40103(b)(3), 40113, 44903, 44935-44936, 44939, and 46105; the Implementing Recommendations of the 9/11 Commission Act of 2007, § 1520 (121 Stat. 444, Public Law 110-53, August 3, 2007); FAA Reauthorization Act of 2018, §1934(c) (132 Stat. 3186, Public Law 115-254, Oct 5, 2018), and Executive Order 9397, as amended.

Purpose: The Department of Homeland Security (DHS) will use the biographical information to conduct a security threat assessment. Your fingerprints and associated information will be provided to the Federal Bureau of Investigation (FBI) for the purpose of comparing your fingerprints to other fingerprints in the FBI's Next Generation Identification (NGI) system or its successor systems including civil, criminal, and latent fingerprint repositories. The FBI may retain your fingerprints and associated information in NGI after the completion of this application and, while retained, your fingerprints may continue to be compared against other fingerprints submitted to or retained by NGI. DHS will also transmit your fingerprints for enrollment into the US-VISIT Automated Biometrics Identification System (IDENT). If you provide your Social Security Number (SSN), DHS may provide your name and SSN to the Social Security Administration (SSA) to compare that information against SSA records to ensure the validity of the information.

Routine Uses: In addition to those disclosures generally permitted under 5 U.S.C. 522a(b) of the Privacy Act, all or a portion of the records or information contained in this system may be disclosed outside DHS as a routine use pursuant to 5 U.S.C. 522a(b)(3) including with third parties during the course of a security threat assessment, employment investigation, or adjudication of a waiver or appeal request to the extent necessary to obtain information pertinent to the assessment, investigation, or adjudication of your application or in accordance with the routine uses identified in the TSA system of records notice (SORN) DHS/TSA 002, Transportation Security Threat Assessment System. For as long as your fingerprints and associated information are retained in NGI, your information may be disclosed pursuant to your consent or without your consent as permitted by the Privacy Act of 1974 and all applicable Routine Uses as may be published at any time in the Federal Register, including the Routine Uses for the NGI system and the FBI's Blanket Routine Uses.

Disclosure: Furnishing this information is voluntary; however, if you do not provide the information requested, DHS may be unable to complete your application for a security threat assessment.

"I authorize the Social Security Administration to release my Social Security Number and full name to the Transportation Security Administration, Office of Transportation Threat Assessment and Credentialing (TTAC), Attn: Aviation Programs (TSA-10)/Aviation Worker Program, 601 South 12th Street, Arlington, VA 20598."

"I am the individual to whom the information applies and want this information released to verify that my SSN is correct. I know that if I make any representation that I know is false to obtain information from Social Security records, I could be punished by a fine or imprisonment or both."

Signature:	Date of Birth: / /			
SSN & Full Name: / /	(Print Name)			
Part 4	Security Identification Display Area			
	TRAINING CERTIFICATION			
31. SIDA VIDEO TRAINING (to be completed by Badging Office or authorized sponsoring companies only)				
I certify that the individual for whom this badge is requested has successfully completed TSA approved SIDA training in accordance with 49 CFR Part 1542.213 and has had an opportunity to ask questions concerning the presentation.				
		11		
Printed Instructor's Name	Instructor's Signature	Date		

Part 5 SIGNATURE AUTHORITY / EMPLOYER / SPONSOR CERTIFICATIONS

32. As an authorized representative of the Employer identified in Part 1 Item 25, I certify that this applicant is an

independent contractor that has an independent contractor agreement with the permit holder or is an employee of the company or Employer. I understand that in accordance with HAS rules and regulations, should the applicant cease to be employed by the Employer or cease to have an independent contractor agreement with the permit holder the Employer or permit holder is responsible for taking custody of the employee's or independent contractor's HAS I.D. Badge and immediately returning it to the airport ID Badging Office from which it was issued. You should obtain a written receipt from the ID Badging Office for your own protection as failure to make the return promptly is a sanctionable offense against the Employer, permit holder, and their Authorized Signatories. Company Name Authorized Signature Sponsor or Delegated Authority HAS Approved Authorized Signatory 34. Date Printed Name HAS Approved Authorized Signatory Phone Number E-Mail Address Fax Number Sponsoring Company Name (if applicable) (Sponsoring company's Authorized Signatory will initial the appropriate choice below) AIRCRAFT OPERATORS SUBJECT TO 49 CFR Part 1544 AND GOVERNMENTAL AGENCIES EXEMPT UNDER 49 CFR 1542.209 (m)(1) (Please initial appropriate box) I certify that a criminal history record check has been completed on this applicant by the appropriate Federal Agency and does not disclose a disqualifying conviction as described in 49 CFR Part 1542.209/1544.229 or 1544.230. Copy of the Privacy Act has been provided to the applicant. (for Gov't and Regulated entities) CHRC Case # Date Submitted Date Received **NEW BADGE APPLICANTS** 38. I am requesting that a criminal history record check be conducted by the appropriate Federal Agency as required in 49 CFR Part 1542.209/1544.229. Do NOT issue any badge at this time. 39. Applicant Signature **CONTACT INFORMATION Houston Airport System** I.D. Badging Offices IAH (281) 230-3032 HOU (713) 845-6500 EFD (713) 847-4200

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Applicant Initials:_____ Date:___/_

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Houston Airport System Disqualifying Crimes Applicant Certification

Have you ever been convicted (Defined by TSA Legal Guidance for CHRC "deferred adjudication" or similar outcome is considered a conviction), or found not guilty by reason of insanity, of any of the crimes listed in this document or of any crimes in the same "family" of crimes as those listed in this document, whether in the United States or elsewhere in the world?

Check the appropriate box Yes (If yes, provide disposition documents upon request)

Not all disqualifying crimes may be specifically named in this list as the names of crimes often vary from jurisdiction to jurisdiction; therefore, other crimes, including but not limited to, fitting in the same family as one or more of the listed crimes shall also be disqualifying.

<u>Disqualifying criminal crimes</u>: An individual has a disqualifying criminal crime if the individual has been convicted, or found not guilty of by reason of insanity, of any of the disqualifying crimes listed in any jurisdiction during the 10 years before the date of the individual's application for unescorted access authority, or while the individual has unescorted access authority.

A "<u>Deferred Adjudication</u>" by TSA definition is, any finding of guilt, plea of guilty, plea of *nolo contendere*, or finding of not guilty by reason of insanity followed by deferred adjudication, is considered a conviction.

A "<u>Felony</u>" is any crime for which a sentence of confinement for a period of one year or longer <u>could have</u> been imposed, no matter what the confining facility is classified as. The level and name of the crime is based upon the crime charged, until dismissal or conviction and at that time it is based upon the crime for which the individual is punished.

Violations of the Uniform Code of Military Justice when considering the definition of a felony, and as otherwise used in interpreting this document, is based upon the sentence or punishment that could have been imposed under the UCMJ.

The term "airport" includes any airport anywhere in the world.

The Disqualifying Crimes are the following:

- Forgery of certificates, false marking of aircraft, and other aircraft registration violations; 49USC 46306
- 2. Interference with air navigation; 49USC 46308
- 3. Improper transportation of a hazardous material; 49USC 46312
- 4. Aircraft piracy; 49USC 46502
- 5. Interference with flight crew members or flight attendants; 49 USC 46504.
- 6. Commission of certain crimes aboard aircraft in flight; 49 USC 46506.
- 7. Carrying a weapon or explosive aboard aircraft; 49 USC 46505.
- 8. Conveying false information and threats; 49 USC 46507.
- 9. Aircraft piracy outside the special aircraft jurisdiction of the United States; 49 USC 46502(b).
- 10. Lighting violations involving transporting controlled substances; 49 USC 46315.
- 11. Unlawful entry into an aircraft or airport area that serves air carriers or foreign air carriers contrary to established security requirements; 49 USC 46314.
- 12. Destruction of an aircraft or aircraft facility; 18 USC 32.
- 13. Murder.
- 14. Assault with intent to murder.
- 15. Espionage.

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16. Sedition.

Applicant Initials:	Date://	

17.	Kidnapping or hostage taking.		
18.	Treason.		
19.	Rape or aggravated sexual abuse.		
20.	Unlawful possession, use, sale, distribution, or manufacture of an explosive or weapon. (Felony or Misd)		
21.	Extortion.		
22.	Armed or Felony unarmed robbery.		
23.	Distribution of, or intent to distribute a controlled substance	ee.	
24.	Felony arson.		
25.	Felony involving a threat.		
26.	A felony involving—		
	i. Willful destruction of property;		
	ii. Importation or manufacture of a controlled substance	;	
	iii. Burglary ;		
	iv. Theft;		
	v. Dishonesty, fraud, or misrepresentation;		
	vi. Possession or distribution of stolen property;		
	vii. Aggravated assault;		
	viii. Bribery; or		
	ix. Illegal possession of a controlled substance punishab year.	le by a maximum term of imprisonment of more than 1	
27.	Violence at international airports; 18 USC 37.		
28.	Conspiracy or attempt to commit any of the criminal acts	isted above.	
The interest and be applicated in account may be a limited in the account may be a limited in	FORTANT – READ THIS SECTION CAREFULLY BEFORMATION I have provided on this application is true, elief and is provided in good faith. I understand the fation can be punished by fine or imprisonment or both cordance with 49 CFR Part 1540.103, any fraudulent, fatirate information on this document may cause this application of future revocation and/or future denial of restand that I have a continuing obligation under 49 finator within 24 hours if I am convicted of any di	complete, and correct to the best of my knowledge hat a knowing and willful false statement on this (Title 18 USC sec 1001). Isification of, or providing incomplete, misleading or blication to be rejected and/or my badge revoked and any access and/or badging privileges. CFR 1542.209 (I) to disclose to the Airport Security	
Date	ized to have unescorted access authority to include D	Applicant's Printed Name	
Date		Applicant of Times Hame	
Compa	nny	Applicant's Signature	
Disquali	ifying Crimes rev. 6/27/12		

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Applicant Initials:_____ Date:___/___/